

GP# 1614

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1720)

In re Application of:  
Asp, et al.

Serial No.: 09/993,211

Filed: November 16, 2001

For: Method for the Treatment of  
Cardiotoxicity Induced by Antitumor  
Compounds



Examiner: TBA

Art Unit: 1614

PH#5

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TRANSMITTAL LETTER

Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Second Supplemental Information Disclosure Statement;
  - b) PTO Form 1449 and 34 cited references;
  - c) Return postcard
2. With respect to fees:
  - a) It is believed no fee is due at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on June 20, 2002.

Date: June 20, 2002

Respectfully submitted,

Lisa M.W. Hillman  
Registration No. 43,673



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(Case No. 01-1720)

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**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any

other representation and, in particular, no representation is indented as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be “prior art” within the meaning of 35 U.S.C. sections 102 or 103.

### **CITED REFERENCES**

#### **Other Documents**

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- 2) Tetef, et al., *“Phase I Trial of 96-Hour Continuous Infusion of Dexrazoxane in Patients with Advanced Malignancies”*, *Clinical Cancer Research*, Vol. 7, pp. 1569-1576, 2001
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- 12) Dorr, "Cytoprotective Agents for Anthracyclines", *Seminars in Oncology*, Vol. 23, No. 4, Suppl. 8, pp. 23-34, 1996
- 13) Shan, et al., "Anthracycline-Induced Cardiotoxicity", *Ann Intern Med.*, 125:47-58, 1996
- 14) Cook-Bruns, "Retrospective Analysis of the Safety of Herceptin® Immunotherapy in Metastatic Breast Cancer", *Oncology*, 61 (suppl 2):58-66, 2001
- 15) Winer, et al., "New Combinations with Herceptin® in Metastatic Breast Cancer", *Oncology*, 61 (suppl 2):50-57, 2001
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- 18) Chien, "Myocyte Survival Pathways and Cardiomyopathy: Implications for Trastuzumab Cardiotoxicity", *Seminars in Oncology*, Vol. 27, No. 6, Suppl. 11, pp. 9-14, 2000
- 19) Schnitt, "Breast Cancer in the 21<sup>st</sup> Century: New Opportunities and New Challenges", *Modern Pathology*, Vol. 14, No. 3, pp. 213-218, 2001
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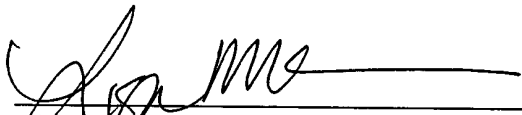
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Respectfully submitted,

Date: June 20, 2002

by:

  
**Lisa M.W. Hillman**  
Reg. No. 43,673

FORM PTO-1449  
(R v. 2-32)U.S. Department of Commerce  
Patent and Trademark Office

Atty. D cket N .

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SECOND SUPPLEMENTAL  
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Asp, et al.

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## U.S. PATENT DOCUMENTS

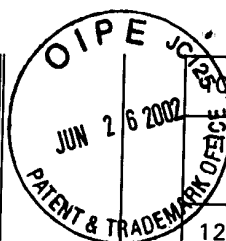
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appr priat

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translati n
					Yes No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

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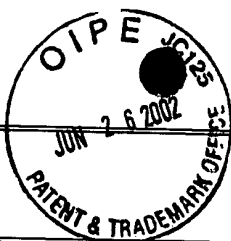
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